



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/845,669	04/30/2001	Brian James Clark	26548-0007

CONFIRMATION NO. 2276

FORMALITIES LETTER



OC000000006218857

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Date Mailed: 06/25/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

10/04/2001 TBESHAH1 00000014 09845669

FILED UNDER 37 CFR 1.53(b)

01 FC:101	740.00 OP
02 FC:103	252.00 OP
03 FC:197	100.00 OP

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

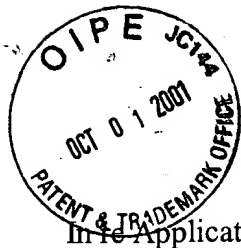
- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$252.
 - \$252 for 14 total claims over 20.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1092.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



PATENTS

(3)

IN THE U.S. PATENT AND TRADEMARK OFFICE

In re Application of: **Clark et al.**

Docket No. 26548-0007

Serial No. 09/845,669

Filed: April 30, 2001

For: "MAXIMIZATION OF A HEDGED INVESTMENT BUDGET FOR AN INDEX-LINKED INSURANCE PRODUCT"

Attention: Box Missing Parts
Commissioner for Patents
Washington, D.C. 20231

Sir:

RESPONSE TO NOTICE TO FILE MISSING PARTS – FILING DATE GRANTED

Transmitted herewith are papers in the above-identified application.

- ☒ Part 2- Return Copy-Notice to File Missing Parts of Nonprovisional Application – Filing Date Granted.
- ☐ Applicant claims small entity status. See 37 CFR 1.27.
- ☒ Declaration and Power of Attorney.
- ☒ The additional fee is calculated as shown below.
- ☐ _____ Sheets of Substitute Drawings.

			SMALL ENTITY		OTHER THAN SMALL ENTITY	
FOR:	NO. FILED	NO. EXTRA	RATE	FEE	RATE	FEE
BASIC FEE					\$710.00	\$ 710.00
TOTAL CLAIMS	34 – 20 =	14 X			18.00	\$ 252.00
INDEP. CLAIMS	3 – 3 =	0 X			80.00	0
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENTED +					270.00	0
<input checked="" type="checkbox"/> LATE-FILING SURCHARGE +					130.00	\$ 130.00
<input type="checkbox"/> FOREIGN LANGUAGE SURCHARGE +					130.00	0
<input type="checkbox"/> OTHER +						
			TOTAL		TOTAL	\$1,092.00

- ☒ A check in the amount of \$1,092.00 is attached.
- ☐ The Commissioner is hereby authorized to charge any additional fees required under 37 CFR §1.16, or credit any overpayment, to Account No. 19-5029. A duplicate copy of this sheet is enclosed

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C., on September 25, 2001.

Michael S. Pavento

Reg. No. 42,985

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By:

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